

## Compliance Whistleblowing Hotline

The standards we place on ourselves, our employees, customers and suppliers as a reliable, sustainable and customer-oriented supplier in the precious metals business are fairness, legality and maintaining a long-term partnership. Thus, compliance with all legal requirements, our supply chain due diligence principles and our internal guidelines are of great importance to SAXONIA. Any deviations could result in the loss of a business relationship or legal disputes for us, thereby damaging the company's reputation.

As a SAXONIA group of companies, and with our employees in their day-to-day tasks, we are committed to complying with all applicable laws and regulations. This includes adherence to our supply chain due diligence principles for the precious metals gold, silver, platinum, palladium and rhodium.

Refining and trading in precious metals can be used to finance armed conflict, while they can also lead to human rights abuses, the use of forced or child labour, and support for both corruption and money laundering. (You can find more information on this in the download area as well as in the OECD Due Diligence Guidance Annex II and the described grievance mechanism).

It is important to us to know the origin/extraction of the precious metals we process.

We ensure compliance with the full scope of the law, as well as our internal principles and guidelines, through organisational measures (principle of dual control, internal processes and guidelines, etc.), prevention (including training, audits), control efforts (including internal audits) and sanctions.

We would like to encourage you, as our employees, customers, suppliers, prospective parties and other interested persons, to support us in our endeavours by disclosing any relevant information. We will use this information to further improve our processes and guidelines.

You are welcome to send us your information anonymously. Please send any information you wish to share to our Group Compliance Officer:

[compliance@saxonia.de](mailto:compliance@saxonia.de)

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We can assure you of its confidential treatment.